

.

Introduction Related work Injectivity Method Results Conclusion

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High-quality planar NURBS parameterization of computational domain in IGA via control points and weights optimization

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Introduction

Related work

Injectivity conditions for NURBS parameterizations

An unconstrained optimization approach for planar NURBS parameterization

Numerical examples and comparisons

Conclusion and future work

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Proposed by T.J.R. Hughes et al., 2005.

- KEY IDEA: approximate the physical fields with the same basis functions as that used to generate the CAD model.
- **Advantages:**
	- Integration of design and analysis
	- Exact and efficient geometry
	- Simplified mesh refinement
	- · High order continuous field
	- Superior approximation properties
- Very broad applications: such as shell analysis, fluid–structure interaction, and shape optimization.

. Dalian University of Technology May 30, 2021 **planar NURBS** parameterization in IGA 3 / 45

.

Introduction Related work Injectivity Method Results Conclusion

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NURBS Parameterization for IGA

- Similar to mesh generation in FEA, constructing analysis-suitable parameterization is a crucial step in IGA.
- **Motivation**: only the internal control points are considered as variables by previous research. We consider **truly rational parameterization** in this work.

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Introduction

Related work

Injectivity conditions for NURBS parameterizations

An unconstrained optimization approach for planar NURBS parameterization

Numerical examples and comparisons

Conclusion and future work

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Introduction Related work Injectivity Method Results Conclusion

- 理 2

Related work

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- Effect of parameterization quality on subsequent analysis: E. Cohen et al. 2010, G. Xu et al. 2013a, E. Pilgerstorfer et al. 2014.
- Planar domain parameterization:
	- **single-patch: Xu et al. 2011a 2011b 2013b 2019, Gravesen et al. 2014, Choi et al. 2015, Nian and Chen 2016, Hinz et al. 2018, Ugalde et al. 2018, Pan et al. 2018, Zheng et al. 2019.**
	- multi-patch: Xu et al. 2015, Buchegger et al. 2018, Xu et al. 2018, Xiao et al. 2018, Kapl et al. 2017a 2017b 2018 2019, Blidia et al. 2020.
- Volumetric parameterization:
	- single-block: Martin et al. 2009, Aigner et al.2009, Nguyen et al. 2014, Wang and Qian 2014, Xu et al. 2014, Pan et al. 2020, Liu et al. 2020, Yuan et al. 2021.
	- multi-block: Xu et al. 2013 2017, Hu and Lin et al. 2017 2019, Chen et al. 2019, Haberleitner 2019.
- Non-standard B-splines or NURBS: such as *C* ¹ Powell-Sabin splines, toric patches, THB-splines, T-splines, PHT-splines, and Catmull-Clark volumetric subdivision.

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Introduction

Related work

Injectivity conditions for NURBS parameterizations

An unconstrained optimization approach for planar NURBS parameterization

Numerical examples and comparisons

Conclusion and future work

.

Introduction Related work Injectivity Method Results Conclusion

连理工

A sufficient condition for injective mapping

. Lemma 1 (Kestelman, 1971) .

. **J** *is invertible at all points of P.* Suppose that \mathbf{f} is a C^1 parameterization from parametric domain $\mathcal{P} = [0,1]^2$ to *computational domain* Ω*, satisfying* **f***|∂^P is injective. Then* **f** *is injective if its Jacobian*

From Lemma 1, if the Jacobian determinant det **J** have the same sign on the whole parametric domain *P*, then the differentiable parameterization **f** is injective.

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Introduction Related work Injectivity Method Results Conclusion

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Planar NURBS parameterization

NURBS parameterization:

$$
\mathbf{f}: \quad \mathcal{P} \quad \to \quad \Omega
$$
\n
$$
(u,v)^{\mathrm{T}} \mapsto (x,y)^{\mathrm{T}} = \frac{\sum_{i=0}^{n} \sum_{j=0}^{m} \omega_{ij} \mathbf{P}_{ij} N_{i}^{p}(u) N_{j}^{q}(v)}{\sum_{i=0}^{n} \sum_{j=0}^{m} \omega_{ij} N_{i}^{p}(u) N_{j}^{q}(v)}.
$$
\n
$$
(1)
$$

• Partial derivatives:

$$
\frac{\partial \mathbf{f}}{\partial u} = \frac{p}{W^2} (\mathbf{P}_u^{\omega} W - \mathbf{P}^{\omega} W_u), \n\frac{\partial \mathbf{f}}{\partial v} = \frac{q}{W^2} (\mathbf{P}_v^{\omega} W - \mathbf{P}^{\omega} W_v).
$$
\n(2)

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Introduction Related work Injectivity Method Results Conclusion

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Jacobian determinant

Utilizing the product properties of B-splines [M*ϕ*rken, 1991], the Jacobian determinant det **J** of the NURBS parameterization (1) can be represented in the form as

$$
\det \mathbf{J}(u, v) = \left| \frac{\partial \mathbf{f}}{\partial u}, \frac{\partial \mathbf{f}}{\partial v} \right|
$$

\n
$$
= \frac{pq}{W^4} \left| \mathbf{P}_u^{\omega} W - \mathbf{P}^{\omega} W_u, \mathbf{P}_v^{\omega} W - \mathbf{P}^{\omega} W_v \right|
$$

\n
$$
= \frac{pq}{W^4} \sum_{i=0}^{n'} \sum_{j=0}^{m'} N_i^{4p-1}(u) N_j^{4q-1}(v) J_{i,j}^N.
$$
 (3)

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Introduction Related work Injectivity Method Results Conclusion

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A sufficient condition for injective NURBS parameterization

Since *pq*/*W*⁴ *>* ⁰ for *[∀]*(*u, ^v*) *∈ P*, the sign of det **^J** is entirely determined by the sign of the following term

$$
J_D(u, v) = \sum_{i=0}^{n'} \sum_{j=0}^{m'} N_i^{4p-1}(u) N_j^{4q-1}(v) J_{i,j}^N.
$$
 (4)

According to the nonnegativity of B-spline basis functions, the following theorem can be deduced easily from Lemma 1.

. Theorem 2 .

 \overline{r} *If all the control coefficients* $J_{i,j}^N$ *in (4) are positive, then the planar NURBS parameterization* **f** *in (1) is injective.*

Introduction Related work Injectivity Method Results Conclusion

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. Weaker sufficient conditions

- By taking the knot insertion and/or degree elevation algorithm, we can obtain a series of weaker sufficient injectivity conditions for NURBS parameterizations.
- A better way is to convert the B-spline representation (4) into Bézier form with the Bézier extraction technique:

$$
J_D^B(u,v) = \sum_{s_1=0}^{4p-1} \sum_{s_2=0}^{4q-1} B_{s_1}^{4p-1}(u) B_{s_2}^{4q-1}(v) J_{s_1,s_2}^B,
$$
\n(5)

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. Weaker sufficient conditions - continued

where

$$
J_{s_1,s_2}^B = \sum_{\substack{i_1 + i_2 + i_3 + i_4 = s_1 \\ 0 \le i_1 \le p-1 \\ 0 \le i_2 \le p \\ 0 \le i_3 \le p}} \sum_{\substack{j_1 + j_2 + j_3 + j_4 = s_2 \\ 0 \le j_1 \le q \\ 0 \le j_2 \le q \\ 0 \le i_3 \le p}} \gamma_{s_1,s_2} \left| \Gamma_{i_1,i_2,j_1,j_2}^u, \Gamma_{i_3,i_4,j_3,j_4}^v \right|, \qquad (6)
$$

$$
\gamma_{s_1,s_2} = \frac{\binom{p-1}{i_1} \binom{p}{i_2} \binom{p}{i_3} \binom{q-1}{i_4} \binom{q}{j_2} \binom{q}{j_3} \binom{q}{j_4}}{\binom{4p-1}{s_1} \binom{4q-1}{s_2}}.
$$

$$
\Gamma_{i_1,i_2,j_1,j_2}^u = \omega_{i_2,j_2} (\omega_{i_1+1,j_1} (\mathbf{P}_{i_1+1,j_1} - \mathbf{P}_{i_2,j_2}) - \omega_{i_1,j_1} (\mathbf{P}_{i_1,j_1} - \mathbf{P}_{i_2,j_2})),
$$

$$
\Gamma_{i_3,i_4,j_3,j_4}^v = \omega_{i_4,j_4} (\omega_{i_3,j_3+1} (\mathbf{P}_{i_3,j_3+1} - \mathbf{P}_{i_4,j_4}) - \omega_{i_3,j_3} (\mathbf{P}_{i_3,j_3} - \mathbf{P}_{i_4,j_4})).
$$

Dalian University of Technology **May 30, 2021 planar NURBS** parameterization in IGA 13 / 45

and

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Introduction Related work Injectivity Method Results Conclusion

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Necessary conditions for injective NURBS parameterization

- Bézier function interpolates its corner control coefficients.
- Necessary condition of the injective parameterization can be obtained.

. Theorem 3 .

 $J_{0,0}^{\mathcal{B}}, J_{0,4q-1}^{\mathcal{B}}, J_{4p-1,0}^{\mathcal{B}}, J_{4p-1,4q-1}^{\mathcal{B}}$ in formula (6) are non-negative. *If the parameterization* **f** *in (1) is injective, then the corner Bézier control coefficients*

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Introduction Related work Injectivity Method Results Conclusio

Algorithm for injectivity checking

Algorithm 1 Checking the injectivity of NURBS parameterization

Input: Control points P_{ij} , weights ω_{ij} , degrees p, q , and knot vectors U, V of the NURBS parameterization **f**, maximum iterations *Kmax*.

Output: Injectivity of **f**.

- 1: Compute the extracted Bézier patches by the Bézier extraction technique, and set $k = 0$;
- 2: For each new Bézier patch, compute the Bézier control coefficients $J_{s_1,s_2}^{\!B}$ in (6);
- 3: If all the corner Bézier control coefficients $J_{0,0}^B, J_{0,4q-1}^B, J_{4p-1,0}^B, J_{4p-1,4q-1}^B$ are non-negative, go to Step 4; otherwise, return 'Invalid';
- 4: If all Bézier control coefficients J_{s_1,s_2}^{β} are positive, return 'Valid';
- 5: If $k > K_{max}$, return 'Maximum iterations has been reached';
- 6: Refine each Bézier patch with non-positive coefficients into four subpatches by de Casteljau algorithm;
- 7: Set $k = k + 1$, and go to Step 2.

大连理工大学

Introduction

Related work

Injectivity conditions for NURBS parameterizations

An unconstrained optimization approach for planar NURBS parameterization

Numerical examples and comparisons

Conclusion and future work

.

Introduction Related work Injectivity Method Results Conclusion

- 32

General constraint optimization framework

General constraint optimization framework:

 $\arg\min_{\mathbf{x}} E(\mathbf{f}(\mathbf{x}))$ s*t*. $J_{s_1, s_2} \ge \delta$, (7)

where **x** are optimization variables, $E(f(x))$ is an energy functional to characterize the geometric property of the parameterization, such as orthogonality, uniformity, and low distortion, and δ is a positive threshold.

- Since the constraints must be recomputed during each iteration, direct solving problem (7) is computationally unacceptable since forming the control coefficients J_{s_1,s_2} is very costly both in time and memory.
- We propose an three-step unconstrained optimization approach to deal with this problem.

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Introduction Related work Injectivity Method Results Conclusion

理二

Step 1. Initialization

- Simply set all the internal weights to 1 in the initialization stage.
- Next, the internal control points $P_{ij}(i = 1, 2, \dots, n-1, j = 1, 2, \dots, m-1)$ are obtained by solving the following unconstrained quadratic programming problem

$$
\underset{\mathbf{P}_{ij}}{\arg\min} \iint_{\mathcal{P}} ||\Delta \mathbf{f}||^2 d\mathcal{P},\tag{8}
$$

where $\Delta = \frac{\partial^2}{\partial u^2}$ $\frac{\partial^2}{\partial u^2} + \frac{\partial^2}{\partial v^2}$ *∂v* ² is the Laplace operator.

• No guarantee on free of self-intersection.

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Introduction Related work Injectivity Method Results Conclusion

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Step 2. Eliminating foldovers

Eliminating foldovers (E) by solving the following unconstrained optimization problem:

$$
\arg\min_{\mathbf{P}_{ij}} \iint_{\mathcal{P}} ReLU(\delta - \det \mathbf{J})d\mathcal{P}.
$$
 (9)

where *δ* is a user-specified threshold, and *ReLU* stands for the Rectified Linear Unit, i.e.,

$$
ReLU(x) = \begin{cases} 0, & x \le 0, \\ x, & x > 0. \end{cases}
$$

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Introduction Related work Injectivity Method Results Conclusion

大连理工大学

Step 2. Eliminating foldovers - continued Step 2. Eliminating foldovers - continued

- The quality of the parameterization is still poor.
- The next task is to improve the parameterization quality.

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Introduction Related work Injectivity Method Results Conclusion 连理二大

Step 3. Improving parameterization quality

Winslow's functional (W):

$$
E^{W}(\mathbf{f}; \mathbf{P}_{ij}, \omega_{ij}) = \iint_{\mathcal{P}} \frac{tr(\mathbf{g})}{\det \mathbf{J}} d\mathcal{P}
$$
(10)

where *tr*(**g**) is the trace of the first fundamental form **g**.

. **Remarks** .

- The minimum of Winslow's functional provides a diffeomorphic mapping between the parametric domain and the computational domain which is ensured by the Radó-Kneser-Choquet theorem.
- The integral term approaches infinity as det **J** approaches to zero, which can suppress foldovers effectively.

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Introduction Related work Injectivity Method Results Conclusion

连理二

Corrected Winslow's functional

- Since the change of the objective function is discontinuous during the numerical optimization process, the value of the objective function may step over zero directly.
- **Corrected Winslow's functional (CW)**:

$$
E^{CW}(\mathbf{f}; \mathbf{P}_{ij}, \omega_{ij}) = \iint_{\mathcal{P}} \frac{tr(\mathbf{g})}{ReLU(\det \mathbf{J}) + \varepsilon} d\mathcal{P}, \qquad (11)
$$

where *ε* is a small positive threshold to prevent dividing by zero.

When the Jacobian determinant det **J** is non-positive, the denominator of the integral term is equal to ε . This cause quite tremendous value of $E^{CW}({\bf f}; {\bf P}_{ij}, \omega_{ij})$, which penalizes invalid parameterization.

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Introduction Related work Injectivity Method Results Conclusion

连理二

Uniformity functional

- For the most uniform case, Jacobian determinan det **J** is equal to *S* (*S* is the area of the given computational domain) anywhere.
- Given the boundary representation in four NURBS curves form, the area of the computational domain can be obtained easily by Green's formula.
- **Uniformity functional (U)**:

$$
E^{uniform}(\mathbf{f}; \mathbf{P}_{ij}, \omega_{ij}) = \iint_{\mathcal{P}} \left(\frac{\det \mathbf{J}}{S} - 1\right)^2 d\mathcal{P}, \qquad (12)
$$

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Introduction Related work Injectivity Method Results Conclusion

Optimization model

Objective functional:

$$
E(\mathbf{f}; \mathbf{P}_{ij}, \omega_{ij}) = E^{CW}(\mathbf{f}; \mathbf{P}_{ij}, \omega_{ij}) + \lambda E^{uniform}(\mathbf{f}; \mathbf{P}_{ij}, \omega_{ij}),
$$
(13)

where *λ* is a positive weight for balance between the corrected Winslow's functional and the uniformity functional.

• Solving the two following sub-problems alternately:

(i) Fix the internal weights, and update the internal control points, i.e.,

$$
\arg\min_{\mathbf{P}_{ij}} E(\mathbf{f}; \mathbf{P}_{ij}, \omega_{ij}); \tag{14}
$$

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(ii) Fix the internal control points, and update the corresponding weights, i.e.,

$$
\arg\min_{\omega_{ij}} E(\mathbf{f}; \mathbf{P}_{ij}, \omega_{ij}). \tag{15}
$$

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. With/without uniformity functional

(a) Without uniformity functional (b) With uniformity functional **Fig. 3** Comparison of parameterization with/without uniformity functional.

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Introduction Related work Injectivity Method Results Conclusion

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Algorithm for constructing planar NURBS parameterization

Algorithm 2 Constructing high-quality planar NURBS parameterization by adjusting control points and weights

Input: Four boundary representations of the domain Ω in NURBS form, and the parameters *δ, ε, λ, ε*0*, Nmax*. **Output:** Internal control points, weights, and the corresponding planar NURBS parameterization.

- 1: Set all the internal weights to 1, and construct the initial internal control points by solving the linear system obtained by the harmonic map (8);
- 2: Eliminate foldovers by solving the optimization problem (9);
- 3: Set $k = 0$;
- 4: **repeat:**
- 5: Fix weights *ωij*, solving the optimization problem (14) to obtain the internal control points **P***ij*, and evaluate the value E_1 of $E(\mathbf{f}; \mathbf{P}_{ij}, \omega_{ij})$;
- 6: Fix control points P_{ii} , solving the optimization problem (15) to obtain the internal weights ω_{ii} , and evaluate the value E_2 of $E(\mathbf{f}; \mathbf{P}_{ij}, \omega_{ij})$;
- 7: Set $k = k + 1$;
- 8: **until** $k > N_{max}$ or $|E_2 E_1| < \varepsilon_0$;

.

Introduction

Related work

Injectivity conditions for NURBS parameterizations

An unconstrained optimization approach for planar NURBS parameterization

Numerical examples and comparisons

Conclusion and future work

.

Introduction Related work Injectivity Method Results Conclusion

猩工

Numerical examples and comparisons

. **Implementation details** .

- \bullet MATLAB (MATLAB R2020a) + C++ (Visual Studio 2017)
- Optimizer: L-BFGS in NLopt¹.
- Gaussian quadrature rules for integral items and the MATLAB backslash divide command for solving linear systems.
- All parameters involved in our algorithm are set as default values.
- The maximum number $K_{max} = 5$ in Algorithm 1; the parameters $\delta = 0.05S$ in (9) and $\varepsilon = 10^{-10}$ in (11); the weight $\lambda = 1$ in (13); and the threshold $\varepsilon_0 = 0.01$ and the maximum iterations $N_{max} = 5$ for the stopping criteria in Algorithm 2.
- ¹ S.G. Johnson, The NLopt nonlinear-optimization package, http://github.com/stevengj/nlopt.

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Introduction Related work Injectivity Method Results Conclusion 连理工 Quality metrics for parameterization quality

• Scaled Jacobian (orthogonality):

$$
J_s = \frac{\det \mathbf{J}}{\|\mathbf{f}_u\| \|\mathbf{f}_v\|}.
$$
 (16)

Condition number (conformal distortion):

$$
k(\mathbf{J}) = \|\mathbf{J}\|_{F} \|\mathbf{J}^{-1}\|_{F},\tag{17}
$$

- where $||\mathbf{J}||_F = (tr(\mathbf{J}^T \mathbf{J}))^{\frac{1}{2}}$ is the Frobenius norm of \mathbf{J} .
- A dense sampling (501 *×* 501 sample points).

 $\Box \rightarrow 4 \Box \rightarrow 4 \Box \rightarrow 4 \Box \rightarrow 2 \Box \rightarrow 9 \, \text{Q} \, \text{Q}$ Dalian University of Technology May 30, 2021 **planar NURBS** parameterization in IGA 30 / 45

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Introduction Related work Injectivity Method Results Conclusion

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 $\Box \rightarrow \begin{array}{ccccc} \sqrt{2} & \sqrt{2$

Effect of weights

- Whether the use of weights really improves the quality of the parameterizations?
- Test the involved models while keeping all the internal weights equal to one.

Dalian University of Technology May 30, 2021 **planar NURBS** parameterization in IGA 31 / 45

大连理工大学

- Constructs a poor-quality parameterization in the Dog model.
- Fails to obtain a valid parameterization in the Rabbit model.
- Optimizing the weights indeed has a positive effect on the injectivity and quality of parameterization.

Introduction Related work Injectivity Method Results Conclusio

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Comparisons

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We bring the proposed method into comparison with several state-of-the-art parameterization methods:

- \bullet Nonlinear Constrained Optimization method (NCO) 1 ,
- \bullet Variational Harmonic method (VH) 2 ,
- **3** Teichmüller mapping method $(T-Map)^3$, and
- \bullet Low-Rank Quasi-Conformal method (LRQC) 4 .

 3 X.S. Nian, F.L. Chen, Planar domain parameterization for isogeometric analysis based on Teichmüller mapping, Comput. Methods Appl. Mech. Engrg. 311 (2016) 41–55.

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 1 G. Xu, B. Mourrain, R. Duvigneau, A. Galligo, Parameterization of computational domain in isogeometric analysis: methods and comparison, Comput. Methods Appl. Mech. Engrg. 200 (23–24) (2011) 2021–2031.

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Dalian University of Technology May 30, 2021 **planar NURBS** parameterization in IGA 40 / 45

Table 2 parameterization quality w.r.t. various methods

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Introduction Related work Injectivity Method Results Conclusion

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Comparisons: Running time

- For practical applications, the efficiency of the algorithm is also a crucial factor.
- Only list the running times of the examples generating valid parameterizations.

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Introduction

Related work

Injectivity conditions for NURBS parameterizations

An unconstrained optimization approach for planar NURBS parameterization

Numerical examples and comparisons

Conclusion and future work

.

Introduction Related work Injectivity Method Results Conclusion

理工

Conclusions and future work

. **Conclusions** .

- Several sufficient conditions and a necessary condition for injective NURBS parameterizations.
- An algorithm for the injectivity checking of NURBS parameterizations.
- Parameterization method which alternatingly update weights and control points.
- Numerical examples demonstrate the robustness and efficiency of our method.

. **Future work** .

- To high genus computational domains, apply our parameterization approach to multi-patch structures.
- Extend our approach to 3*D* volumetric parameterization.

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Thanks for your attention!

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